

# **HLC Institutional Evaluation & Sanctions or Monitoring**

Understanding the Relationship between HLC and Institutions  
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# Evaluating or Monitoring Actions

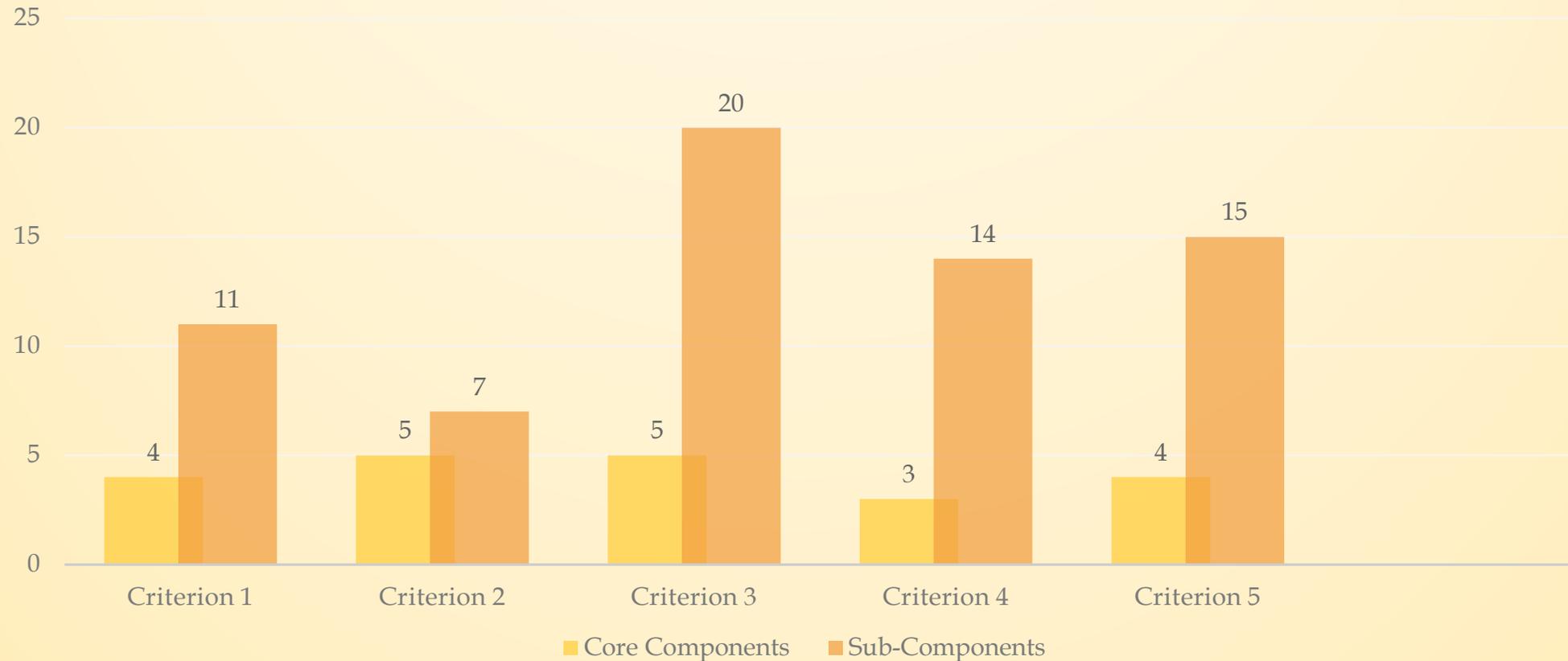
- Annual Institutional Data Update (AIDU) Report
- Substantive Change Requests (i.e. approval of new locations, degrees/certificates)
- Additional Location Visits (Every 5 Years)
- Quality Initiative (On-Going)
- 4-Year Assurance Argument
- Comprehensive Visit (Every 10 Years)
- Monitoring Reports

# Criteria for Accreditation

- Criterion 1: Mission
- Criterion 2: Integrity
- Criterion 3: Teaching & Learning
- Criterion 4: Teaching & Learning
- Criterion 5: Resources

# Core Components : 21 Points

## Sub Components : 67 Points



## 2.A - Core Component 2.A

The institution operates with integrity in its financial, academic, personnel, and auxiliary functions; it establishes and follows policies and processes for fair and ethical behavior on the part of its governing board, administration, faculty, and staff.

### 2.A.

#### **Financial Integrity**

Under the direction of the Vice President of Administration, Barton's business office operates under generally accepted accounting principles to ensure consistent and transparent financial processes. Each year the institution's financial records and practices are audited by an independent certified public accounting firm. In the most recent audits, the college has received an unqualified audit opinion reflecting the institution is following compliant and ethical accounting principles and its financial statements are presented fairly. [Audit results](#) plus [monthly](#) and [annual](#) financial reporting, and [long term debt](#) for college building projects are all published on the college website for review by local taxpayers as well other interested parties. Barton's [Composite Financial Indicator \(CFI\) scores](#) for the past three years as calculated from the annual audits and reported to HLC fall within the "no further review" [range](#).

# From the Perspective of a Peer Reviewer: Ratings

- Met
- Not Met
- Met with concern

# Peer Reviewer Evaluation

2.A The institution operates with integrity in its financial . . . functions. . .

“The Board of Trustees and the President are accountable for ensuring financial integrity.”

**Not Met**

# Peer Reviewer Evaluation

2.A The institution operates with integrity in its financial . . . functions. . .

“The College has policies regarding financial integrity.”  
Appendix – Policy

Met with Concern

# Peer Reviewer Evaluation

“An external 3<sup>rd</sup>-party audit has reported no material weaknesses and confirmed sound and transparent accounting procedures.”

Appendix – Audit Report

Met

# **Institutional Status: The Institution's Relationship with HLC**

- Good Standing
  - Monitoring Report(s)
- Notice
  - Monitoring Report(s)
- Probation
  - Monitoring Report(s)
- Show Cause
  - Monitoring Report(s)
- Denial or Withdrawal of Accreditation

# Reasons for Sanctions and/or Monitoring

- Concerns
- May not meet the criteria for accreditation
- Do Not Meet the Criteria for Accreditation
- Student Complaints
- Severe Systemic Issues
- Financial Issues
- Legal Issues