



HLC Accreditation Evidence

- Performance Improvement Plan
 - Template
 - Example

URL:

https://docs.bartonccc.edu/procedures/2481-faculty_eval_process.pdf

Office of Origin:

- Human Resources

Contact(s):

- Director of Human Resources

Date	Initials

Performance Improvement Plan (PIP) Confidential

TO:
FROM:
DATE:

The purpose of this PIP is to document serious employment concerns, note gaps in work performance, define the College’s expectations, and allow the opportunity to demonstrate improvement and commitment.

Areas of Concern: Actions (or inactions) and/or behaviors that have adversely affected job performance, co-workers, students, partners, constituents and/or the College.

Area of Concern	Resulting Issues
1.	
2.	
3.	
4.	
5.	

Observations, Previous Discussions or Coaching Sessions: Recap of date and time an area of concern has been previously addressed in the recent/relevant past.

Date and Time	Area of Concern	Summary of How Concern Was Addressed

Improvement Goals to Address Areas of Concern

1.	
2.	
3.	
4.	
5.	

Activities and Actions to Help You Reach Your Goals: The following activities/actions must be accomplished in order to demonstrate your progress towards achievement of each improvement goal.

Goal #	Activities and Actions	Start Date	Projected Completion Date
1.			
2.			
3.			
4.			
5.			

Resources/Management Support: Listed below are resources available to assist you in completing your improvement activities.

1.	
2.	
3.	

Progress Checkpoints: The following schedule will be used to evaluate your progress in meeting your improvement activities.

Goal #	Activity	Checkpoint Date	Type of Follow-up (memo/call/meeting)	Progress Expected	Notes
1.					
2.					
3.					
4.					
5.					

Follow-up Notes:

1.	
2.	
3.	
4.	
5.	

Timeline for Improvement, Consequences & Expectations:

Effective immediately, you are placed on a 30-day, 60-day, 90-day, 120-day, 180-day PIP. During this time you will be expected to make regular progress on the plan outlined above.

Failure to meet or exceed these expectations, or any display of gross misconduct will result in further disciplinary action, up to and including termination. In addition, if there is no significant improvement to indicate that the expectations and goals will be met within the timeline indicated in this PIP, your employment may be terminated prior to completion of this PIP. Furthermore, failure to maintain performance expectations after the completion of the PIP may result in additional disciplinary action up to and including termination. The PIP does not alter the employment-at-will relationship. Additionally, the contents of this PIP are to remain confidential.

Should you have questions or concerns regarding the content, you will be expected to follow up with the supervisor as identified below.

Signatures:

Employee Name: _____

Employee Signature: _____ Date: _____

Supervisor/Manager Name: _____

Supervisor/Manager Signature: _____ Date: _____

PIP Wrap-up (Check One):

- Performance Improvement Plan satisfactorily completed on _____.
- Performance Improvement Plan unsuccessfully completed. Future correction action _____.

Employee Name: _____

Employee Signature: _____ Date: _____

Supervisor/Manager Name: _____

Supervisor/Manager Signature: _____ Date: _____

Please note: Before presenting the PIP to the employee, it must be reviewed and approved (initialed and dated at the top of page 1) by the chain-of-command up through the appropriate Dean/Vice President, the Vice President of Administration and the Director or Assistant Director of HR. In the case of direct reports to the President, it must be reviewed and approved by the President, the Vice President of Administration and the Director or Assistant Director of HR.

A copy of the initial PIP along with any updates must be sent to the Office of Human Resources for inclusion into the employee's personnel file. At the conclusion of the PIP, the original PIP must then be sent to the Office of Human Resources to replace the copies on file.

Date	Initials
1/12/15	AMH
1/12/15	JAK
1/13/15	MED
1/14/15	PSQ

Performance Improvement Plan (PIP) Confidential

TO: Mary Employee
FROM: Sally Supervisor
DATE: 1/15/15

The purpose of this PIP is to document serious employment concerns, note gaps in work performance, define the College's expectations, and allow the opportunity to demonstrate improvement and commitment.

Areas of Concern: Actions (or inactions) and/or behaviors that have adversely affected job performance, co-workers, students, partners, constituents and/or the College.

Area of Concern	Resulting Issues
1. Overtime hours being worked without supervisory approval as required under college procedure.	Violation of college procedure and unapproved increase in labor costs.
2.	
3.	
4.	
5.	

Observations, Previous Discussions or Coaching Sessions: Recap of date and time an area of concern has been previously addressed in the recent/relevant past.

Date and Time	Area of Concern	Summary of How Concern Was Addressed
10/1/14; 10:00 AM	Overtime hours being worked without supervisory approval as required under college procedure.	A face-to-face meeting was scheduled with Mary to discuss the area of concern and expectation that Mary would get supervisor authorization before working any overtime.

Improvement Goals to Address Areas of Concern

1.	Beginning immediately, no overtime hours will be worked without supervisory approval.
2.	
3.	
4.	
5.	

Activities and Actions to Help You Reach Your Goals: The following activities/actions must be accomplished in order to demonstrate your progress towards achievement of each improvement goal.

Goal #	Activities and Actions	Start Date	Projected Completion Date

1.	By using the Microsoft Excel daily task list template, keep an electronic daily task list of all work assignments that is also accessible by your supervisor.	1/16/15	Ongoing from this point forward.
2.			
3.			
4.			
5.			

Resources/Management Support: Listed below are resources available to assist you in completing your improvement activities.

1.	For more informaton on the Microsoft Excel daily task list template go to: https://templates.office.com/en-us/Daily-task-list-TM00000003
2.	
3.	

Progress Checkpoints: The following schedule will be used to evaluate your progress in meeting your improvement activities.

Goal #	Activity	Checkpoint Date	Type of Follow-up (memo/call/meeting)	Progress Expected	Notes
1.	Development of daily task list	1/19/15	Electronic access of daily task list by supervisor	Up-to-date daily task list and no unauthorized overtime worked	
2.	Face-to-face meeting to discuss progress	2/15/15			
3.	Face-to-face meeting to discuss progress	3/15/15			
4.	Face-to-face meeting to discuss progress	4/15/15			
5.					

Follow-up Notes:

1.	
2.	
3.	
4.	
5.	

Timeline for Improvement, Consequences & Expectations:

Effective immediately, you are placed on a 30-day, 60-day, 90-day, 120-day, 180 day PIP. During this time you will be expected to make regular progress on the plan outlined above.

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Should you have questions or concerns regarding the content, you will be expected to follow up with the supervisor as identified below.

Signatures:

Employee Name: Mary Employee

Employee Signature: _____ Date: _____

Supervisor/Manager Name: Sally Supervisor

Supervisor/Manager Signature: _____ Date: _____

PIP Wrap-up (Check One):

- Performance Improvement Plan satisfactorily completed on _____.
- Performance Improvement Plan unsuccessfully completed. Future correction action _____.

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